

Docket No.  
448563/159  
P-9055-564  
LR:re



sector §

#7

Applicants : Kazumichi Shimada et al.  
Serial No. : 09/004,271  
Filed : January 7, 1998  
For : INK-JET RECORDING APPARATUS

April 8, 1999

**COMMUNICATION FILING SIGNED COPY OF  
DECLARATION AND ASSIGNMENT IN RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION -- FILING DATE GRANTED**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**Attn: Box Missing Parts**

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date  
Granted mailed January 14, 1999, a copy of which is annexed hereto, applicants submit a  
Declaration and Power of Attorney executed by Kazumichi Shimada, Hisashi Miyazawa, and  
Takao Kobayashi. Kindly enter this Declaration, which refers to Application Serial No.  
09/004,271, filed on January 7, 1998, in the application file.

**Certificate of Mailing (37 C.F.R. 1.8)**

I hereby certify that this correspondence is being deposited with  
the United States Postal Service with sufficient postage as first class  
mail in an envelope addressed to: *Assistant Commissioner for Patents,*  
*Washington, D.C. 20231, Attention: Box Missing Parts, on (Date)*

April 8, 1999

Typed or printed name of person signing this certificate:

Patricia Driscoll

Signature: \_\_\_\_\_

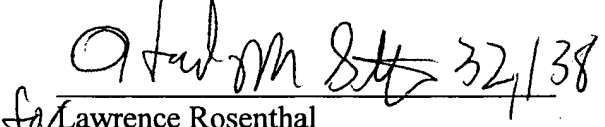
*Patricia Driscoll*

The Commissioner is hereby authorized to charge the surcharge for filing the Declaration, estimated to be \$130.00, and any deficiencies to the undersigned attorney's Deposit Account No. 19-4709. A duplicate copy of this Communication is enclosed.

In view of the completion of the filing requirements by filing an executed Declaration, this application is now in condition for examination.

Early and favorable action in connection with this application is earnestly solicited.

Respectfully submitted,

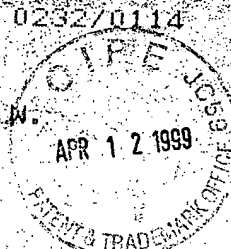
  
for Lawrence Rosenthal  
Registration No. 24,377  
Attorney for Applicants  
STROOCK & STROOCK & LAVAN  
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New York, NY 10038  
(212) 806-6660



Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/004,271	01/07/98	SHINADA	042630

SUGHRUE MION ZINN  
MACPEAK & SEAS  
2100 PENNSYLVANIA AVENUE N.W.  
WASHINGTON DC 20037-3202



NOT ASSIGNED

2763

DATE MAILED:

01/14/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ \_\_\_\_\_ including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to: "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE